

STATE OF ALABAMA  
DEPARTMENT OF INSURANCE

PF-Y

QUARTERLY PREMIUM TAX STATEMENT – FOREIGN INSURANCE COMPANY  
CASUALTY BUSINESS

Quarterly Period Ending June 30, \_\_\_\_\_  
(Due no later than August 15, \_\_\_\_\_)

INSTRUCTIONS

**PENALTIES** – Any Company failing to file its Premium Tax Return (even if no tax is due) or failing to pay such estimated taxes on a timely basis shall be subject to a penalty of \$1,000 to \$10,000, to be assessed by the Commissioner.

**RETURNS MUST BE RECEIVED BY THE DUE DATE TO BE ACCEPTED AS TIMELY FILED.**

**Please use the following checklist to assure that all the necessary items are included with your Premium Tax Filing.**

- ( ) Each quarter's payment may be paid on Estimated or Actual premiums.
- ( ) The Alabama Facilities Credit Worksheet must accompany this form if paying at a rate less than the 3.6% maximum.
- ( ) Make checks payable to the: Alabama Department of Insurance.
- ( ) Mail this RETURN and a CHECK to the address below:

POSTAL SERVICE

Alabama Department of Insurance  
c/o Compass Bank  
P.O. Box 830691  
Birmingham, AL 35283-0691

COURIER OR EXPRESS SERVICE

Alabama Department of Insurance  
c/o Compass Bank  
701 South 32<sup>nd</sup> Street  
Birmingham, AL 35233

NAIC#:

\_\_\_\_\_  
(Name of Company)

Preparer's Signature

\_\_\_\_\_  
Name and Title (Print)

Telephone Number & E-Mail Address of Preparer

**PLEASE COMPLETE**

1. PREMIUM TAX PAID: (reverse side, line 12)

PF:

2. Check No.: -----

STATE OF \_\_\_\_\_ COUNTY OF \_\_\_\_\_

Personally appeared before the undersigned attesting officer(Name) \_\_\_\_\_

Who says he/she is (Title) \_\_\_\_\_ of the above company and the above statement is true and correct to the best of his/her knowledge.

SWORN TO AND SUBSCRIBED before me this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC

**ALABAMA INSURANCE DEPARTMENT**

PF-Y

CASUALTY BUSINESS

**Quarterly Period Ending June 30, \_\_\_\_\_**

NAIC# \_\_\_\_\_

(Due no later than August 15, \_\_\_\_\_)

NAME OF COMPANY \_\_\_\_\_

**TAXABLE PREMIUMS**

<u>ACTUAL:</u>	<u>THIS QUARTER</u>	<u>TAX RATE</u>	<u>TAX</u>
3. All Casualty Business (max. rate: 3.6%, see instructions)	\$ _____	X 180% X _____ %	= \$ _____
4. Health:			
a) Groups less than 50 participants	\$ _____	X 180% X .5%	= \$ _____
b) Other Health, excluding insurance supplementary to Medicaid or Medicare & employer sponsored, governmental sponsored group insurance	\$ _____	X 180% X 1.6%	= \$ _____
5. GROSS PREMIUM TAX DUE - ACTUAL BASIS			\$ _____

**TAXABLE PREMIUMS**

<u>ESTIMATED:</u>	<u>PREVIOUS YEAR</u>	<u>TAX RATE</u>	<u>TAX</u>
6. All Casualty Business (max. rate: 3.6%, see instructions)	\$ _____	X 45% X _____ %	= \$ _____
7. Health:			
a) Groups less than 50 participants	\$ _____	X 45% X 5%	= \$ _____
b) Other Health, excluding insurance supplementary to Medicaid or Medicare & employer sponsored, governmental sponsored group insurance	\$ _____	X 45% X 1.6%	= \$ _____
8. GROSS TAX DUE - ESTIMATED BASIS			\$ _____
9. 25% of deductible expenses paid or estimated to be paid			\$ _____
10. 45% of annual CAPCO credit and/or 25% of New Markets tax credit*			\$ _____
11. Prior Year Overpayment			\$ _____
12. NET PREMIUM TAX DUE (line 5 or line 8 minus lines 9, 10 and 11)			\$ _____

\* Only investors who have been allocated a premium tax credit pursuant to AL Code Section 40-14B and/or Act 2012-483 are eligible for these credits.