


Memo

To: Commissioner Ridling
From: Sean Duke 
CC: Richard Ford; Fairley McDonald
Date: 11/6/2017
Re: Preneed Administrative Fee Schedule

Below is an administrative fee schedule we have developed to address the more common and minor violations identified during preneed exams that have historically been addressed through fees in lieu of administrative actions. The adoption of the below schedule is necessary to ensure consistency in the application of fees for similar violations. I have consulted Fairley and he is in agreement with the establishment of the schedule.

<u>Violation</u>	<u>Amount</u>
Minor Log Violations	\$25 per violation- 1 st offense- \$500 Cap \$50 per violation- 2 nd offense- \$1,000 Cap
Minor Contract Issues	\$25 per violation- 1st offense- \$500 Cap \$50 per violation- 2nd offense- \$1,000 Cap
PSA License Issue	\$50 per contract issued
Minor Trust Violation (Does not include failure to trust)	\$100 per violation- 1 st offense
Failure to Respond to Request (ALA. Admin. Code 482-3-003-.16)	\$50 per day

Approved: 
Date: November 06, 2017